

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mounig G. Bawendi et al.

Art Unit : 1641

Serial No. : 09/160,454

Examiner : M. Pham

Filed : September 24, 1997

Batch No. : A59

Notice of Allowance Date: January 12, 2001

Title : BIOLOGICAL APPLICATIONS OF QUANTUM DOTS

AA
EEH
*4/10/01**#25 JF***BOX ISSUE FEE**

Commissioner for Patents

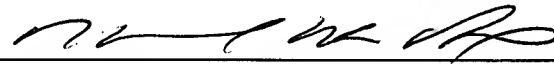
Washington, D.C. 20231

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 C.F.R. §1.312

In addition, upon reviewing the file, Applicants noted that they have not received initialed copies of the enclosed PTO Forms 1449 that accompanied the information disclosure statements filed January 27, 1999, although the Examiner indicated that the forms were included with the Office Action mailed February 3, 2000. Copies of the information disclosure statement and date-stamped postcard are also enclosed. Applicants' records show that the information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return the forms as soon as possible.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4-11-01
Harold H. Fox
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